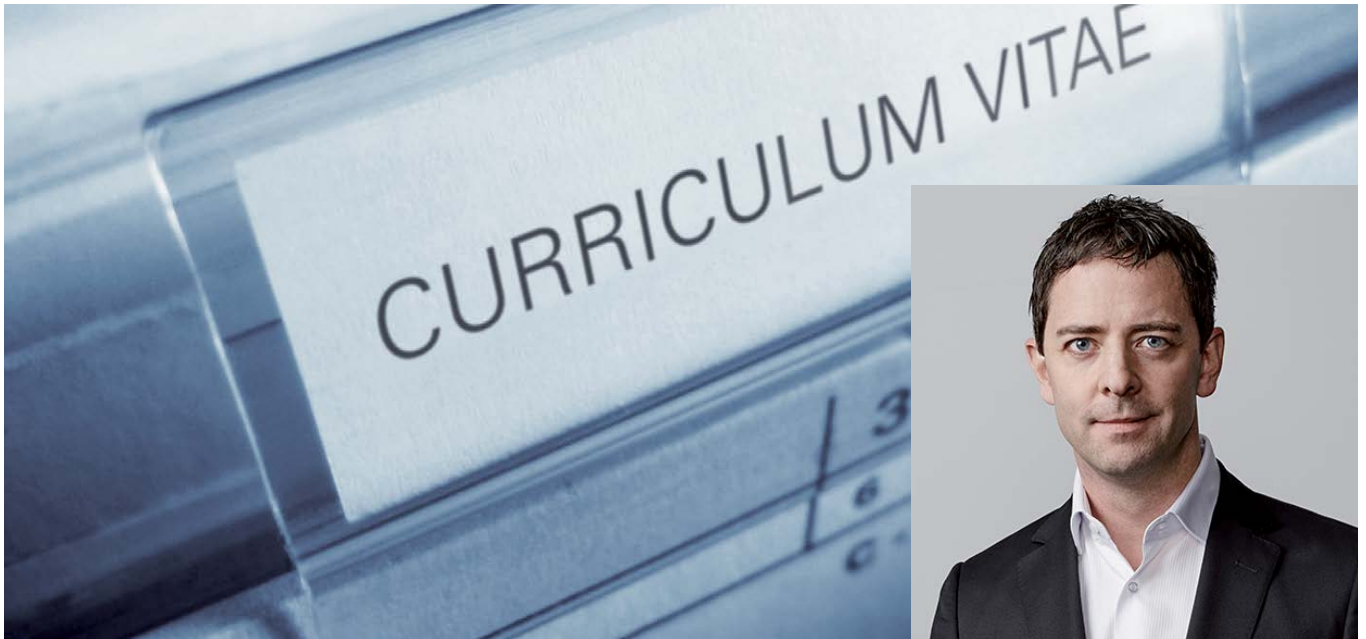


ULRICH SAUTER
GENERAL COUNSEL



ULRICH SAUTER

Year of birth 1970

Nationality Swiss

PROFESSIONAL BACKGROUND

- Since 2007 Leonteq Securities AG, Zurich
- 2009 Head Legal, Compliance, Risk and Human Resources
- 2007 Head Legal, Compliance & Corporate
- 2003 – 2007 Bank Julius Baer & Co. Ltd., Zurich
Head Legal & Compliance Switzerland JB Asset Management
Deputy General Counsel Asset Management and Trading & Sales
- 2000 – 2003 Walder Wyss & Partners, Zurich
Associate in the banking and finance group
- 1999 – 2000 Sidley & Austin, New York, NY
Intern in the corporate group
- 1997 – 1998 District Court of St. Gallen
Clerk (a.o. Gerichtsschreiber)
- 1996 – 1997 Assistant to Prof. Dr. Peter Nobel

EDUCATION

- 1991 – 1996 Law School at the University of St. Gallen
degree lic. iur. HSG
- 1999 Bar exam of the Canton of St. Gallen
- 2001 – 2002 New York University School of Law
LL.M. degree in corporate law

Before joining Leonteq in 2007, Ulrich Sauter worked as Head of Legal & Compliance at Julius Baer Asset Management Switzerland from 2006 to 2007, and as Deputy General Counsel for Trading & Sales and Asset Management at Bank Julius Baer & Co. Ltd. in Zurich from 2003 to 2005. Previously, he worked in the banking and finance department of a major Zurich law firm (2000 to 2003), as an intern in a New York law firm and as an apprentice at the St. Gallen District Court.

In addition to admittance to the Swiss bar, Ulrich Sauter holds a law degree from the University of St. Gallen and an LL.M. degree in corporate law from New York University School of Law.